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November 19, 2001

The Commissioner of Patents and Trademarks  
Washington, D.C. 20231  
U.S.A.

Sir:

Re: New United States Patent Application  
Inventor(s): Ducellier  
Title: OPTICAL SWITCH  
Our File: 10-422 US

Enclosed for the filing of a new patent application in the United States are:

1. Assignment and Assignment Cover Page;
2. Declaration signed by the Inventor(s);
3. 20 pages of specification, 20 claims, 3 being independent; Abstract of the Disclosure;
4. Formal drawings including figures 1 to 6c (8 pages of figures);
5. Certified Copy of Canadian Application No: 2,326,362 (our file: 10-384 CA)
6. Certified Copy of Canadian Application No: 2,328,759 (our file: 10-422 CA)
7. Certified Copy of Canadian Application No: 2,327,862 (our file: 10-412 CA)

The following fees are payable:

- the filing fee \$740.00
- Assignment \$ 40.00

The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No: 50-1465.

Enclosed is a self-addressed return postcard to indicate receipt of application by the USPTO.

Respectfully submitted,

*JDS Uniphase Corporation*

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Customer No: 24949

## OPTICAL SWITCH

### Cross-Reference To Related Applications

- 5 [0001] This applications claims priority from Canadian Patent Application No. 2,326,362 filed on November 20, 2000, Canadian Patent Application No. 2,327,862 filed on December 6, 2000, and Canadian Patent Application No. 2,328,759 filed on December 19, 2000.

10 Microfiche Appendix

[0002] Not Applicable

15 Field of the Invention

[0003] The present invention relates to the field of optical switches.

20 Background of the Invention

- 25 [0004] Optical matrix switches are commonly used in communications systems for transmitting voice, video and data signals. Generally, optical matrix switches include multiple input and/or output ports and have the ability to connect, for purposes of signal transfer, any input port/output port combination, and preferably, for N x M switching applications, to allow for multiple connections at one time. At each port, optical signals are transmitted and/or received via an end of an optical waveguide. The waveguide ends of the input and output ports are optically connected across a switch core. In this regard, for example, the input and output waveguide ends can be physically located on opposite sides of a switch core for direct or folded optical pathway communication therebetween, in side-by-side matrices on the same physical side of a switch interface facing a mirror, or
- 30 they can be interspersed in a single matrix arrangement facing a mirror.

[0005] Establishing a connection between an input port and a selected output port, involves configuring an optical pathway across the switch core between the input ports and the output ports. One known way to configure the optical path is by moving or bending optical fibers using, for example, piezoelectric actuators. The actuators operate to displace the fiber ends so that signals from the fibers are targeted at one another so as to form the desired optical connection across the switch core. The amount of movement is controlled based on the electrical signal applied to the actuators. By appropriate arrangement of actuators, two-dimensional targeting control can be effected.

[0006] Another way of configuring the optical path between an input port and an output port involves the use of one or more moveable mirrors interposed between the input and output ports. In this case, the waveguide ends remain stationary and the mirrors are used to deflect a light beam propagating through the switch core from the input port to effect the desired switching. Microelectromechanical devices with mirrors disposed thereon are known in the art that can allow for two-dimensional targeting to optically connect any input port to any output port. For example, United States Patent No. 5,914,801, entitled "Microelectromechanical Devices Including Rotating Plates And Related Methods", which issued to Dhuler et al. on June 22, 1999; U.S. Patent No. 6,087,747, entitled "Microelectromechanical Beam For Allowing A Plate To Rotate In Relation To A Frame In A Microelectromechanical Device", which issued to Dhuler et al. on July 11, 2000; and U.S. Patent No. 6,134,042, entitled "Reflective MEMS Actuator With A Laser", which issued to Dhuler et al. on October 17, 2000, disclose microelectromechanical systems (MEMS) having mirrors disposed thereon that can be controllably moved in two dimensions to effect optical switching.

[0007] U.S. Patent No. 6,097,858, entitled "Sensing Configuration For Fiber Optic Switch Control System", and U.S. Patent No. 6,097,860, entitled "Compact Optical Matrix Switch With Fixed Location Fibers", both of which issued to Laor on August 1, 2000, disclose switch control systems for controlling the position of two-dimensionally movable mirrors in an optical switch. The mirrors can allow for two-dimensional targeting to optically connect any of the input fibers to any of the output fibers.

[0008] An important consideration in optical switch design is minimizing physical size for a given number of input and output ports that are serviced, i.e., increasing the packing density of ports and beam directing units. It has been recognized that greater packing  
5 density can be achieved, particularly in the case of a movable mirror-based beam directing unit, by folding the optical path between the ports and the movable mirror and/or between the movable mirror and the switch interface. Such a compact optical matrix switch is disclosed in U.S. Patent No. 6,097,860. In addition, further compactness advantages are achieved therein by positioning control signal sources outside of the fiber  
10 array and, preferably, at positions within the folded optical path selected to reduce the required size of the optics path.

[0009] Another example of a compact optical switch is disclosed by Laor in WO 99/66354, entitled "Planar Array Optical Switch and Method". The optical switch  
15 disclosed therein includes two arrays of reflectors and a plurality of input and output fibers associated with a respective reflector on one of the arrays. The optical signal is directed along a "Z-shape" optical path from the input fibers via the first array of reflector and the second array of reflector to the output fibers.

[0010] However, the design of these prior art optical switches is such that the optical  
20 components are arranged along the optical path in a "Z-shape" pattern. A "Z-shape" arrangement of optical components is not spatially efficient. Furthermore, the physical size of an optical switch is determined by the number of input and output ports. A plurality of input/output locations are provided so that the input and output beams can  
25 enter/exit the switching core. These input/output locations are commonly provided in the form of rectangular or other arrays.

[0011] Referring to Fig. 1, a schematic presentation of a prior art optical switch 100  
30 having a Z-shaped arrangement of optical components is shown. A light beam is launched into an input fiber of input fiber bundle 116 and switched to a selected output fiber of output fiber bundle 118 along a Z-shaped optical path through switch 100,

wherein micro-mirrors 110 on MEMS chips 112 are used to fold the design. Such a folded optical pathway configuration allows for a more compact switch design using a movable mirror based beam directing unit. However, the general approach in prior art optical switches is to individually collimate the beam from each input fiber and to direct this beam to its dedicated mirror. This mirror then deflects the beam to any one of the plurality of output mirrors which then redirects the beam, i.e. compensates for the angle, to its dedicated output fiber. As is seen from Fig. 1, this design requires the use of a lens 114 for each individual input fiber of input fiber bundle 116 and each individual output fiber of output fiber bundle 118.

[0012] The Z-shape approach for switching an optical signal, requires particular consideration with respect to the physical spacing between the optical elements since the beam of light should not be obstructed by any of the optical elements along the optical path through the switch. It is apparent that this is not an efficient design since physical size requirements are not optimized in such an "off-axis" design.

[0013] The present invention provides an optical switch having an "on-axis" design, and hence it can provide a more compact optical switch than the prior art. In addition, arranging an angle-to-offset (ATO) element between the deflection elements provides for a re-imaging, and hence a small and low loss optical switch can be provided in accordance with the invention.

[0014] Accordingly, it is an object of the invention to provide a compact optical switch.

[0015] It is a further object to provide a switch with improved spatial efficiency in order to minimize a physical size of the optical switch for a given number of input/output ports.

[0016] Another object of this invention is to provide a compact optical cross-connect arrangement.

[0017] Another object of this invention is to provide a compact optical switch based on deflection means in transmission.

### **Summary of the Invention**

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[0018] In accordance with the invention there is provided an optical switch comprising a pair of opposed optical arrays, each optical array including a fixed mirror and a plurality of independently tiltable mirrors, at least one input port for launching a beam of light into the optical switch, said input port being disposed within a respective optical array, at least  
10 two output ports for selectively receiving a beam of light from an optical path between the at least one input port and a selected one of the at least two output ports, said at least two output ports being disposed within a respective opposed optical array, and an ATO element having optical power disposed between the pair of opposed optical arrays.

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[0019] In one embodiment of the present invention, the pair of opposed optical arrays is disposed in respective focal planes of the ATO element. The at least one input port and the at least two output ports are optical bypasses for allowing a beam of light to pass through a respective one of the pair of opposed optical arrays. The pair of opposed optical arrays, the at least one input port, the at least two output ports, and the ATO  
20 element are disposed about an optical axis of the ATO element. The fixed mirror of each of the pair of opposed optical arrays is positioned along the optical axis of the ATO element.

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[0020] In a further embodiment of the present invention, the ATO element has a focal length approximately equal to a near zone length or Rayleigh range of a beam of light incident thereon.

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[0021] In accordance with the invention there is further provided an optical switch comprising at least one input port for launching a beam of light into the optical switch, at least two output ports for selectively receiving a beam of light from an optical path  
between the at least one input port and a selected one of the at least two output ports, an

ATO element having optical power for performing an angle-to-offset transformation, said ATO element being disposed between the at least one input port and the at least two output ports, a first array of deflectors including a first fixed deflector and a first plurality of independently tiltable deflectors and a second array of deflectors including a second fixed deflector and a second plurality of independently tiltable deflectors, said first and second array of deflectors being disposed in respective focal planes of the ATO element, wherein the first fixed deflector is for receiving a beam of light from the at least one input port via the ATO element and for deflecting a beam of light to one of the second plurality of independently tiltable deflectors via the ATO element, and the second fixed deflector is for receiving a beam of light from one of the first plurality of independently tiltable deflectors via the ATO element and for deflecting a beam of light to a selected one of the at least two output ports via the ATO element, and wherein the first and the second plurality of independently tiltable deflectors are for switching a beam of light along an optical path via the ATO element.

[0022] In accordance with a further embodiment of the present invention, a beam of light passes five times through the ATO element along an optical path between the at least one input port and a selected one of the at least two output ports.

[0023] The first array of deflectors and the second array of deflectors are disposed on a first MEMS chip and a second MEMS chip, respectively. In accordance with an embodiment of the invention, said deflectors are micro-mirrors.

[0024] In another embodiment of the invention, the at least one input port and the at least two output ports are disposed at optical bypass regions of the first and the second MEMS chip, respectively.

[0025] In accordance with yet another embodiment of the invention, the ATO element is one of a focusing lens and a GRIN lens. If desired, the GRIN lens is a quarter pitch GRIN lens. If a GRIN lens is employed as the ATO element, the first array of deflectors is disposed at a first end face of the GRIN lens and the second array of deflectors is

disposed at a second end face of the GRIN lens. In a further embodiment of the invention, the GRIN lens is a foreshortened GRIN lens for accommodating the first array of deflectors in the first focal plane of the GRIN lens and the second array of deflectors in the second focal plane of the GRIN lens.

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[0026] In accordance with another aspect of the invention, there is further provided an optical switch comprising at least one input port for launching a beam of light into the optical switch, at least two output ports for selectively receiving a beam of light, an ATO element having optical power and a focal length approximately equal to a near zone  
length or Rayleigh range of a beam of light incident thereon, and a first array of  
deflectors and a second array of deflectors for switching a beam of light from the at least  
one input port to a selected one of the at least two output ports, wherein the switching is  
performed along an optical path including the first and the second array of deflectors and  
the ATO element and wherein a beam of light passes five times through the ATO element  
when switching a beam of light to a selected one of the at least two output ports. In a  
further embodiment, the first array of deflectors includes a first fixed micro-mirror and a  
first plurality of tiltable micro-mirrors, and the second array of deflectors includes a  
second fixed micro-mirror and a second plurality of tiltable micro-mirrors. Preferably,  
the first and the second array of deflectors are disposed in a respective focal plane of the  
ATO element.

### **Brief Description of the Drawings**

[0027] Exemplary embodiments of the invention will now be described in conjunction  
with the drawings in which:

[0028] Fig. 1 is a schematic presentation of a prior art optical switch having a Z-shaped  
arrangement of optical components;

[0029] Fig. 2 shows a schematic presentation of an optical switch in accordance with the  
present invention;



[0030] Fig. 3 is a schematic presentation of an exemplary optical path for a beam of light being switched from an input port to a selected output port;

5 [0031] Fig. 4 shows a schematic presentation of a preferred embodiment of the optical switch in accordance with the present invention including a GRIN lens;

[0032] Fig. 5 shows a schematic presentation of an array of micro-mirrors provided on a MEMS chip; and

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[0033] Figs. 6a-6c show a schematic presentation of a Gaussian propagation of the beam of light through a GRIN lens when tilted by  $-7^\circ$  (Fig. 6a),  $0^\circ$  (Fig. 6b) and  $+7^\circ$  (Fig. 6c).

### Detailed Description of the Invention

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[0034] The present invention expands on the optical switches disclosed in Canadian Patent Application No. 2,326,362 filed on November 20, 2000 and Canadian Patent Application No. 2,327,862 filed on December 6, 2000, the disclosure of which is incorporated herein by reference. The present invention develops the optical architecture of large optical cross-connect structures and applies it to medium and small scale switches to provide a very compact optical switch. For this purpose, two opposing arrays of deflectors including a plurality of independently two-dimensionally tiltable micro-mirrors disposed on a MEMS chip are used in conjunction with an angle-to-offset (ATO) element to provide a switch core in a miniaturized space. The waveguides or fibers are fed through the MEMS chip themselves for compactness, while a single common fixed mirror is added on each opposite MEMS chip for targeting purpose.

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[0035] Prior art deflection means in transmission are accomplished using a dual mirror arrangement for doubly deflecting the beam. For example, an array of 2 mirrors is used to steer the beam in transmission; a first fixed mirror is used to redirect a beam to a second 2D tiltable mirror that provides the beam steering. Such a dual mirror

arrangement is required for each input/output fiber and hence, a clearing is required so as not to obstruct the path of the light beams. In accordance with the present invention, each fixed mirror is replaced with a common fixed mirror placed at the opposed focal planes of the ATO lens. This common fixed mirror is shared for every port. This arrangement obviates a clearance from a fixed mirror to a 2D moveable mirror due to tilting. The optical switch in accordance with the present invention employs two common fixed mirrors, one for the input ports and one for the output ports. Such an arrangement allows to work with normal incidence on mirrors (reduced PDL) and provides a higher fill factor than prior art optical switches. For example, a fill factor of close to 50% is achieved with the switch in accordance with the invention when compared to fill factors of approximately 15-30% for prior art switches using beam steering in transmission.

[0036] Fig. 2 shows a schematic presentation of an optical switch 200 in accordance with the present invention wherein the optical elements are arranged about an optical axis of an ATO element 203. The provision of ATO element 203 affords an optical switch 200 having reduced aberrations.

[0037] Switch 200 includes a switch core 201 defined by a pair of opposed arrays of deflectors 204, 210. The first array of deflectors 204 includes a first fixed deflector 206 and a first plurality of 2D tiltable deflectors 208 disposed on a MEMS chip and the second array of deflectors 210 includes a second fixed deflector 212 and a second plurality of 2D tiltable deflectors 214 disposed on a MEMS chip. Optical switch 200 further includes a plurality of input and output waveguides 211a-d, 213a-d disposed directly at optical bypasses 215a-d, 217a-d of the second and first arrays 210, 204 of the switch core 201. An exemplary input port 202 is shown on the left of Fig. 2 and a plurality of output ports 216, 218, 220, 222 are presented on the right of Fig. 2. As can be seen from Fig. 2, the input port 202 is disposed at optical bypass 215b of the second array 210 and the output ports 216, 218, 220, 222 are disposed at optical bypasses 217a-d of the first array 204. Advantageously, the input waveguides 211a-d terminate in micro-lenses 219a-d as collimators which are centered on the optical axis of the respective waveguides 211a-d. Analogously, the output waveguides 213a-d terminate in micro-

lenses 221a-d as collimators which are centered on the optical axis of the respective waveguides 213a-d.

5 [0038] However, an individual fiber may function as an input fiber as well as an output fiber depending upon the direction of propagation of an optical signal in a bi-directional communication environment. Accordingly, although this description includes references to input and output fibers for purposes of illustration, it will be understood that each of the fibers may send and/or receive optical signals.

10 [0039] The term optical bypass in this description is used to provide an unobstructed path through the switch core to enable light beams to enter/exit the switch core. This is accomplished by providing an opening that defines a passage through which light beams can pass. Alternatively, each optical bypass can be provided as a region of the switch core structure that is substantially transparent to optical wavelengths of light beams being  
15 switched through the optical switch. This latter arrangement can be readily achieved by providing the switch core on a conventional Si and/or SiO<sub>2</sub> substrate, which is typically transparent to the wavelengths of interest. In this case, the optical bypass is readily constructed by providing a suitably sized region of the substrate that is unobstructed by the deflectors and/or associated deflector control circuitry, or any other optical element or  
20 a window.

[0040] An angle-to-offset (ATO) element, such as an ATO lens 203 having a focal length  $f$ , is disposed in the center of the switch core 201 between the first and the second arrays 204, 210. The first and the second array of deflectors 204 and 210 can be an array of  
25 micro-mirrors tilting in two perpendicular directions and one fixed micro-mirror. Further, the first and second arrays of deflectors 204, 210 are arranged in a focal plane of the ATO lens 203. The ATO lens 203 operates to deflect the propagation path of light beams within the switch core 201. For the purposes of the present invention, an ATO lens 203 can be provided as any suitable optical element having optical power, e.g. a  
30 mirror or a lens.

[0041] While not essential for the purpose of the present invention, the ATO element preferably has a focal length that substantially corresponds to the near zone length (multi mode) or the Rayleigh range (single mode) of a beam of light propagating through optical switch 200. The use of such ATO element means that the size, i.e. the cross-sectional area, of a beam switched through switch core 201 is substantially the same at the tiltable deflectors 208, 214 and also at the input and output micro-lenses/collimators 219a-d, 221a-d. This feature is advantageous for optimizing coupling of the light beams between the input and output waveguides 211a-d, 213a-d. This minimizes the beam size requirement because the beam size on the two focal planes is equal, thus enabling a compact switch. The ATO principle is described in further detail in Canadian Patent Application No. 2,326,362, the disclosure of which is herein incorporated by reference.

[0042] Each MEMS mirror 208, 214 is preferably provided as a two-dimensionally tiltable micro-mirror which can be selectively oriented, in a manner known in the art, to deflect a light beam received from any mirror and/or bypass of the opposite array 204, 210 to any other mirror and/or bypass of the opposite array 210, 204. In this manner, each MEMS mirror 208, 214 can be selectively positioned to define an optical path between any two mirrors and/or bypasses of the opposite first and second arrays 204, 210. This positioning capability of each MEMS mirror 208, 214 enables highly versatile switching of light beams within the switch core 201.

[0043] Turning now to Fig. 3, a schematic presentation of an exemplary optical path for a beam of light being switched from an input port 302 to a selected output port 320 is shown, as it travels through switch core 330 of optical switch 300. A beam of light 301 is launched into the optical switch 300 at input port 302. Input port 302 is disposed at optical bypass 305 on a second array of deflectors/MEMS chip 310. As is seen from Fig. 3, a micro-lens 307 is disposed at the input port 302 to serve as a collimator. Beam 301 traverses through an ATO lens 303 and is directed to a first fixed mirror 306 which is arranged on a first array of deflectors/MEMS chip 304. The first fixed mirror 306 then reflects beam 301 to an independently 2D tiltable micro-mirror 314 on MEMS chip 310 by going back through ATO lens 303. As is seen from Fig. 3, beam 301 comes off at an

angle when it is deflected by the first fixed mirror 306 and after passing through the ATO lens 303, it is directed parallel to an optical axis OA until beam 301 reaches micro-mirror 314 on array 310. Micro-mirror 314 is tilted to deflect beam 301 to micro-mirror 308 which is disposed on the first MEMS chip 304 by going back through the ATO lens 303.

5 Micro-mirror 308 sends the beam 301 back in parallel to the optical axis by going through ATO lens 303 and then beam 301 collapses onto the second fixed mirror 312 arranged on the second MEMS chip 310. The second fixed mirror 312 distributes beam 301 to output port 320 by going through the ATO lens 303 again. Output port 320 is disposed at optical bypass 321. A micro-lens 315 is disposed at output port 320 to  
10 operate as a collimator. It is apparent from Fig. 3 that the ATO lens 303 is used multiple times to switch a light beam from input port 302 to a selected output port 320. In total, beam 301 has passed 5 times through ATO lens 303 so that the ATO lens 303 fulfils the function of a plurality of lense. For example, the first and the second pass through ATO lens 303 corresponds to a first 1:1 telecentric relay, the third pass through ATO lens 303  
15 corresponds to the ATO switching, and finally, the fourth and fifth pass through ATO lens 303 corresponds to a second 1:1 telecentric relay. This means that the ATO lens 303 fulfils the function of a first telecentric relay, switching, and a second telecentric relay.

[0044] By using a same lens multiple times a very compact optical switch is provided.

20 However, in order to accomplish such a compact design, the input and output ports are provided directly on the second and first arrays as described heretofore. The mirrors and the input/output ports share the available space on the first and second arrays which reduces the fill factor. As a result of the reduced fill factor and a maximum packing density of 50% on the first and second arrays, the present invention is used to provide  
25 very compact medium to small scale switches, such as compact 16x16, 32x32, and/or 64x64 switches. However, the advantage of further using the ATO lens as a relay lens as well as a telecentric relay obviates the use of such telecentric relay lenses which would otherwise take up more space and hence, very compact small to medium scale switches can be made in accordance with the present invention.

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[0045] However, the present invention is also applicable to large optical switches/cross-connects, but the compactness advantage of having the coupling optics folded into the main switch pass, as opposed to the standard Z-shape approach, starts to be less attractive than getting a higher fill factor.

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[0046] The input and output ports can consist of optical fibers coupled to collimator lenses as can be seen, for example, from Figs. 2 and 3. Depending on the material used for making the MEMS chip, the beam of light can be launched directly through a transparent region of the MEMS chip, i.e. a region unobstructed by a micro-mirror, or a passage in form of a hole is provided on the MEMS chip to allow the beam of light to pass therethrough. If silicon or silica are used as a MEMS material, the light can be send directly through the MEMS chip since both silicon and silica are transparent in the infrared region, and in particular at 1.55 microns.

[0047] Fig. 4 shows a schematic presentation of a preferred embodiment of an optical switch 400 in accordance with the present invention wherein the ATO lens is a GRIN lens 402. This embodiment provides an even more compact optical switch. GRIN lens 402 is a  $\frac{1}{4}$  pitch SLW 3.0 SELFOC<sup>TM</sup> lens having a length of 7.89 mm. A 4x4 SMF input fiber bundle 404, is shown on the left of Fig. 4. It has a pitch of 250  $\mu\text{m}$ . A micro-lens array 406 is disposed on the input fiber bundle 404 to expand the beams to an appropriate diameter. Exemplary dimensions of this micro-lens array 406 are a diameter of 125  $\mu\text{m}$ , a pitch of 250  $\mu\text{m}$ , and an effective focal length of 415  $\mu\text{m}$ . A first array of micro-mirrors 414 including a first common fixed mirror and a first plurality of independently 2D tiltable micro-mirrors is disposed between a micro-lens array 416 and a first end face 412 of lens 402. Exemplary dimension of the micro-mirrors 414, 408 are  $125 \times 125 \mu\text{m}^2$ ,  $\pm 3.4^\circ$ ,  $\pm 0.2^\circ$ . The first end face 412 corresponds to a first focal plane of the lens 402. A second end face 410 corresponding to a second focal plane is located on an opposed end face of lens 402. A second array of micro-mirrors 408 including a second common fixed mirror and a second plurality of independently 2D tiltable micro-mirrors is provided between a micro-lens array 406 and the second end face 410. An input fiber bundle 404 having an array of micro-lenses 406 arranged thereon is disposed

ATO  
≡  
GRIN

at the second array of micro-mirrors 408. An output fiber bundle 418 having an array of micro-lenses 416 arranged thereon is disposed at the first array of micro-mirrors 414.

The first and the second array of micro-mirrors 408 and 414 are disposed on MEMS chips. These MEMS chips are mounted in the first and second focal plane of the GRIN lens 402, for example by gluing them to the lens 402. GRIN lens 402 operates as an ATO lens and in accordance with an embodiment of the invention, a commercial GRIN lens is used and a respective beam size is computed for this lens. Micro-lenses 408, 414 are determined to determine the beam size.

10 [0048] However, the invention is not intended to be limited to the use GRIN lenses having a focal length approximately equal to the Rayleigh range or near zone length of a beam of light incident thereon. The array of micro-mirrors 414, the array of micro-lenses 416, and the SMF output fiber bundle have the same dimensions as the respective array of micro-mirrors 408, the array of micro-lenses 406, and the SMF output fiber bundle 404 which results in an overall dimension for optical switch 400 of 11 mm x 3 mm diameter, excluding the fiber bundles; i.e. a very compact optical switch.

15 [0049] Using a conventional GRIN lens, such as a SELFOC™ SLW 3.0 lens, as the main optical element allows to build a very compact switch and further potentially eases the packaging since conventional coupler-like assembly techniques can be used. The overall footprint for a 16x16 optical switch is less than 11 mm long and 3 mm in diameter excluding the fiber bundles, standard SMF28 on 250 μm pitch.

20 [0050] As was explained heretofore in conjunction with the embodiments of Figs. 2 and 3, the beams of light can be launched through the MEMS substrate directly if it is made of silicon or silica. However, for certain applications other MEMS substrates may be desired which are not transparent to the beams of light. In such a case, a passage or hole is provided on the substrate to allow the beams of light to pass through the MEMS chips.

30 [0051] In accordance with another embodiment of the present invention, the GRIN lens 402 is foreshortened to create room for the optical components disposed at the respective

end faces of the GRIN lens 402. A foreshortening of the GRIN lens maintains the focal plane of this lens but moves the lens away from the space of the focal plane to accommodate the array of micro-mirrors.

5 [0052] Fig. 5 shows a schematic presentation of an array of micro-mirrors provided on a MEMS chip 500 as disposed on a GRIN lens for example. MEMS chip 500 is used as an example to present the first and the second array of micro-mirrors 414, 408 of Fig. 4 in more detail. A common fixed mirror 502 is shown in the center of Fig. 5. The fixed mirror 502 is surrounded by an array of 4x4 of independently 2D tiltable micro-mirrors 10 504 and beams of light 506 are shown in between neighboring micro-mirrors 504. Exemplary dimensions of MEMS chip 500 are presented in Fig. 5.

[0053] Figs. 6a-6c show a schematic presentation of a Gaussian propagation of the beam of light through a GRIN lens when tilted by  $-7^\circ$  (Fig. 6a),  $0^\circ$  (Fig. 6b) and  $+7^\circ$  (Fig. 6c).

15 Figs. 6a to 6c show that the GRIN lens is in agreement with the ATO lens principle in that a certain input mode is maintained at the output. For example, Fig. 6a shows that when a micro-mirror tilts a beam of light by  $-7^\circ$  a negative position below the optical axis is reached at the opposed end face of the lens. If the micro-mirror tilts the beam by  $+7^\circ$  a positive position above the optical axis is reached (Fig. 6c) and if the micro-mirror tilts 20 the beam by  $0^\circ$  a position on the optical axis is reached (Fig. 6b).

[0054] Below follows a brief description of the angle-to-offset (ATO) principle as described through Gaussian beam optics. General Gaussian beam theory states that if the input waist of  $1/e^2$  beam radius  $W_1$  is placed at the front focal plane of a lens of focal 25 length F then the output waist of  $1/e^2$  beam radius  $W_2$  is located at the back focal plane of the lens. The relationship between these radius sizes is shown in the following equation

$$W_2 = \frac{F \lambda}{\pi W_1}$$



5   **[0056]** Numerous other embodiments can be envisaged without departing from the spirit and scope of the invention.

[illegible]